

# Surviving Networking's Dark Ages

or

How in the Hell  
Do You Lose a Layer!?

IRATI RINA Workshop

John Day

Barcelona 2013

OSI only had a Network Layer, but  
the Internet has an Internet Layer!

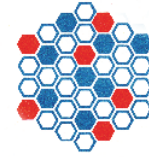
- Noel Chiappa, 1999

# Preamble

- A Couple of Remarks on the Nature of Layering and a Quiz:
- The advent of packet switching required much more complex software than heretofore, and so the concept of layers was brought in from operating systems.
- In operating systems, layers are a convenience, one design choice.
- Why Do We Use Layers in Network Architecture?
- In networks, they are a *necessity*.

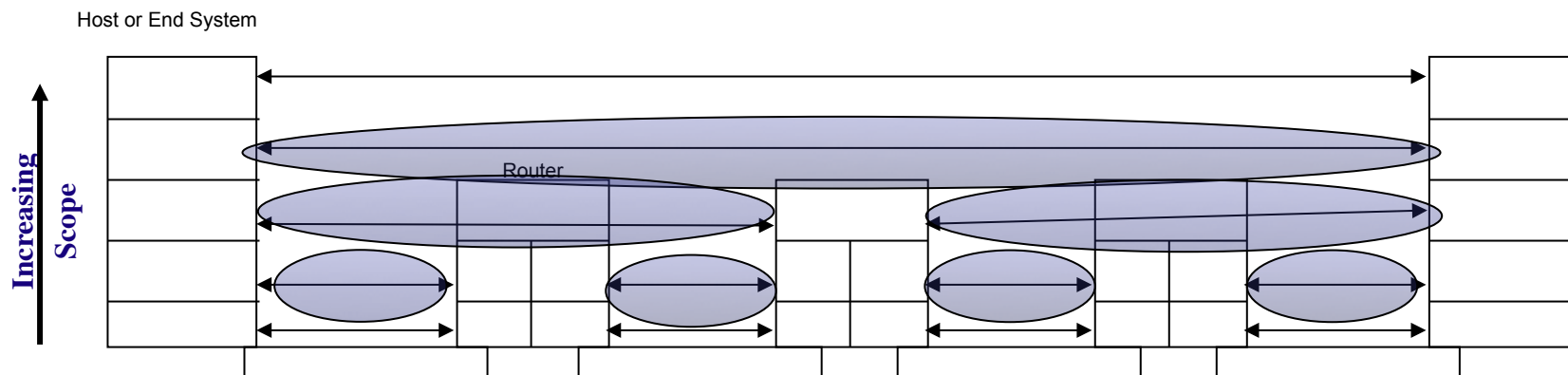
# The (really) Important Thing about Layers

(From first lecture of my intro to networks course)



The Pouzin Society

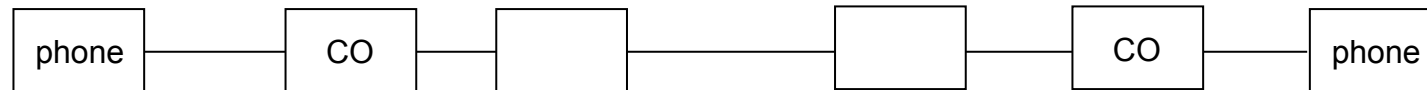
- Networks have loci of distributed shared state with different scopes
- At the very least, differences of scope require different layers.
- It is *this* property that makes the earlier telephony or datacomm “beads-on-a-string” model *untenable*.
  - – Or any other proposal that does not accommodate scope.
- This has always been understood.



# Refining the Concept of Layer

- The Necessary and (usually) Sufficient Condition for a Layer is that there are loci of shared state of different scope.
  - For Operating Systems and Networks, layers are ranges of resource allocation.
- If there are layers of the same scope, their functions must be completely independent.
- Dykstra wasn't wrong: Functions do not repeat . . . in the same scope.
  - The hardware at the time was so constrained he could only see one scope.
- If there is partitioning within the layer, it will generally be orthogonal to the attributes that impose layers.

# The Beads on A String Model

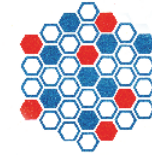


- Over the years the Phone Companies Had Adopted what could be called, a “Beads-on-a-String” architecture.
- Perfectly reasonable for the technology they had.
- The model not only organized the work, but also defined the market.
  - This was what was taught in most data comm courses prior to the 1980s.

# Packet Switching

- In the early 1960s, Paul Baran at The Rand Corporation writes a series of reports investigating the networking requirements for the DoD.
- He finds that the requirements for data are very different than those for voice.
  - Data is bursty. Voice is continuous.
  - Data connections are short. Voice connections have long durations.
- There would be distinct advantages for a network built specifically for data.
  - Greater efficiency.
  - Greater survivability.
- Data would be sent in individual packets, rather than as continuous streams.
- Packet switching is born and
- By the late 1960s, the Advance Research Projects Agency decides building one would reduce the cost of research.

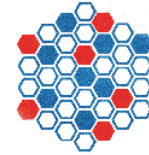
# But was Packet Switching a Major Breakthrough?



- Strange as it may seem, it depends.
  - During this period many things are age dependent.
- If your formative years had occurred prior to the mid-60s (pre-boomer), your model of communication was defined by telephony.
  - Then this is revolutionary.
- If you are younger (boomer), your model is determined by computers.
  - Data is in buffers, How do you do communications:
    - Pick up a buffer and send it.
  - What could be more obvious!

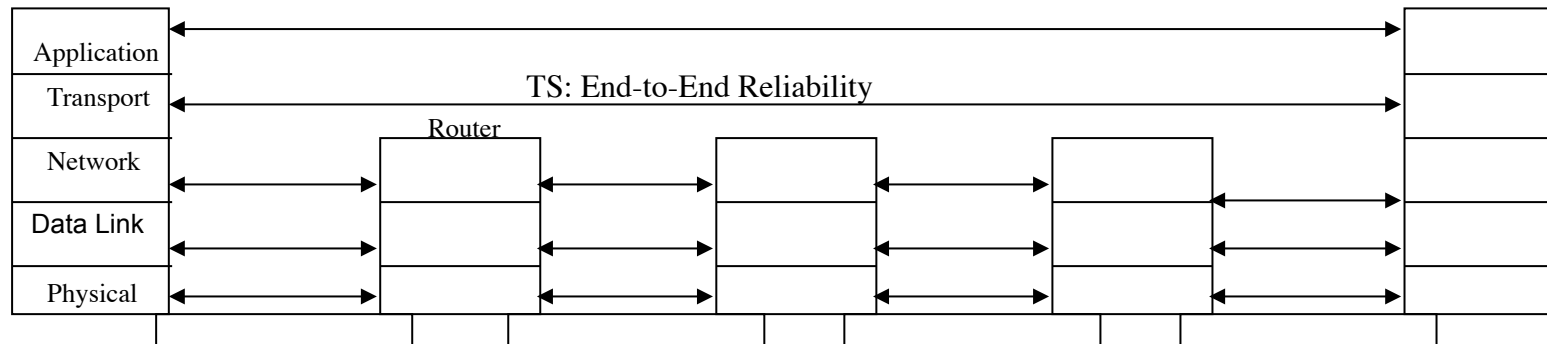
# The Cyclades Architecture

(1972)



The Pouzin Society

Host or End System



**Cigale Subnet**

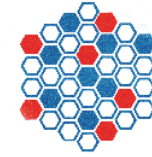
- Transport Service provides end-to-end reliability.
- In that case, hop-by-hop reliability does not have to be perfect.
  - Need only be sufficiently reliable to make end-to-end cost effective.
- Over a connectionless datagram network, Cigale
  - Yields a simpler, more effective and robust data network.
- CYCLADES brings in the traditional layering from operating systems.
- This represents a new model, in fact, a new paradigm completely at odds with the beads-on-a-string model.



## The New Model Had 4 Characteristics

- It was a *peer network* of communicating equals not a hierarchical network connecting a mainframe master with terminal slaves.
- The approach required coordinating *distributed shared state at different scopes*, which were treated as black boxes. This led to the concept of layers being adopted from operating systems and
- There was a shift from largely deterministic to *non-deterministic* approaches, not just with datagrams in networks, but also with interrupt driven, as opposed to polled, operating systems, and physical media like Ethernet, and last but far from least,
- This was a computing model, *a distributed computing model*, not a Telecom or Data comm model. The network was the infrastructure of a computing facility

# 1972 Was an Interesting Year

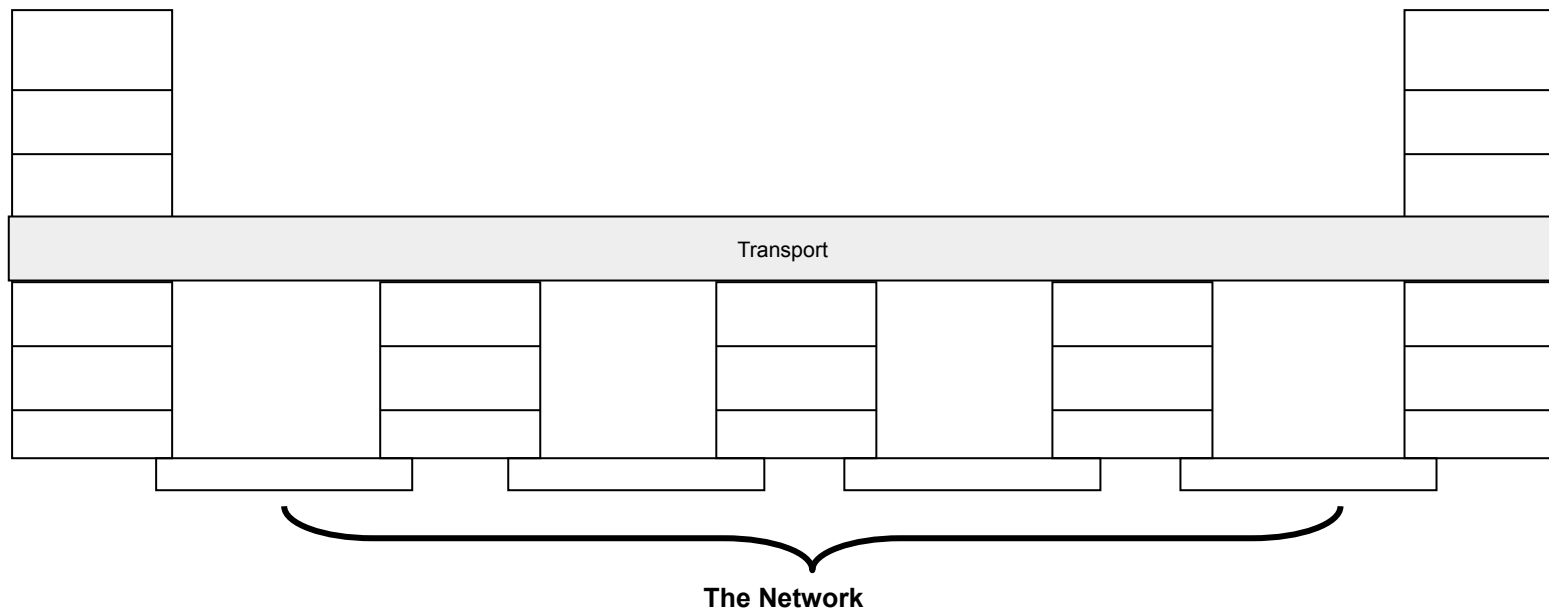


- Tinker AFB joined the ‘Net exposing the multihoming problem.
- The ARPANET had its coming out at ICCC ‘72.
- As fallout from ICCC 72, the research networks decided it would be good to form an International Network Working Group.
  - ARPANET, NPL, CYCLADES, and other researchers
  - Chartered as IFIP WG6.1 very soon after
- Major project was an International Transport Protocol.
  - Also a virtual terminal protocol
  - And work on formal description techniques
- But a major 3-sided war was just breaking

# While the New Model Made Perfect Sense to Computing, It Was a Threat to Phone Companies.

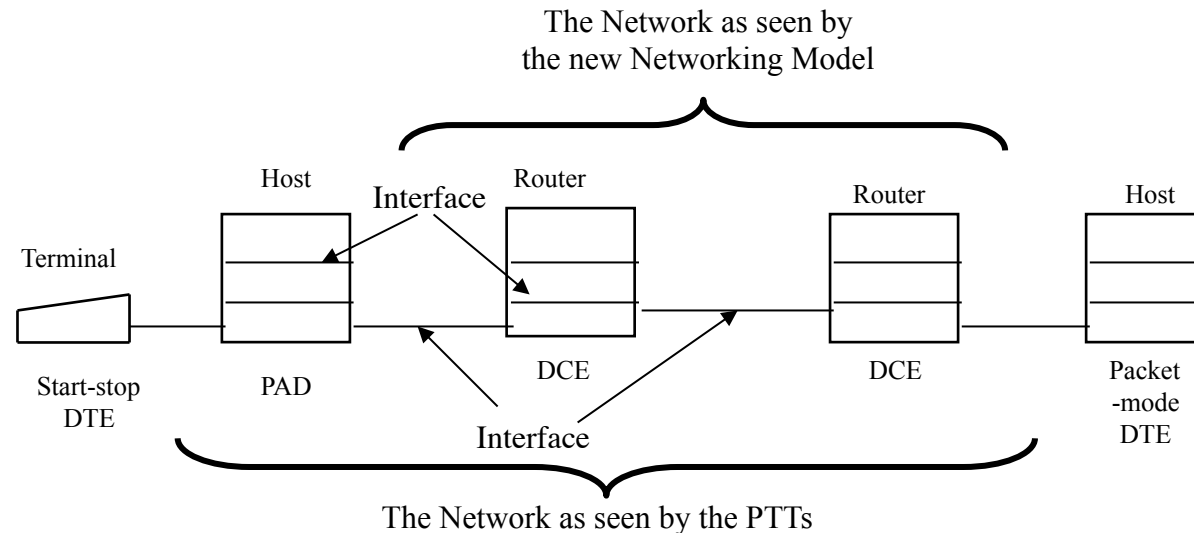


- Transport Seals Off the Lower Layers from Applications.
  - Making the Network a Commodity, with very little possibility for value-add.
- TPC counters that Transport Layers are unnecessary, *their* networks are reliable.



And they have their head in the sand, “Data will never exceed voice traffic”

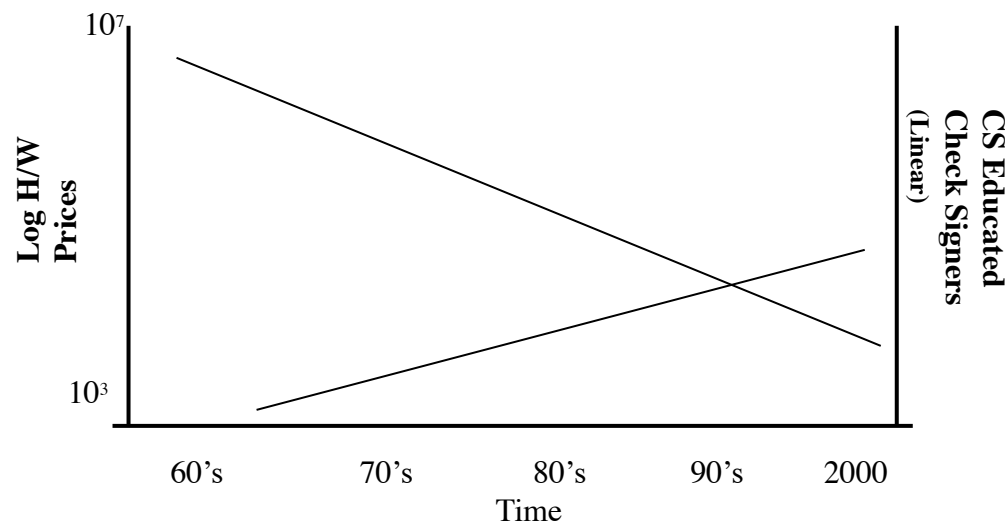
# The Phone Companies Responded



- And they tried to adapt. Using their model, to define markets.
  - As they always had.
- Imitating the new concept of layers, they tried to introduce it.
  - But even a simple idea like an interface wasn't the same.

# IBM had Two Problems

Computing and Memory Prices were headed South . . . Fast.  
Computing Power and Capacity were headed North . . . Fast.  
By the late 70's, it was clear that IBM's days as the dominant  
computer maker were numbered

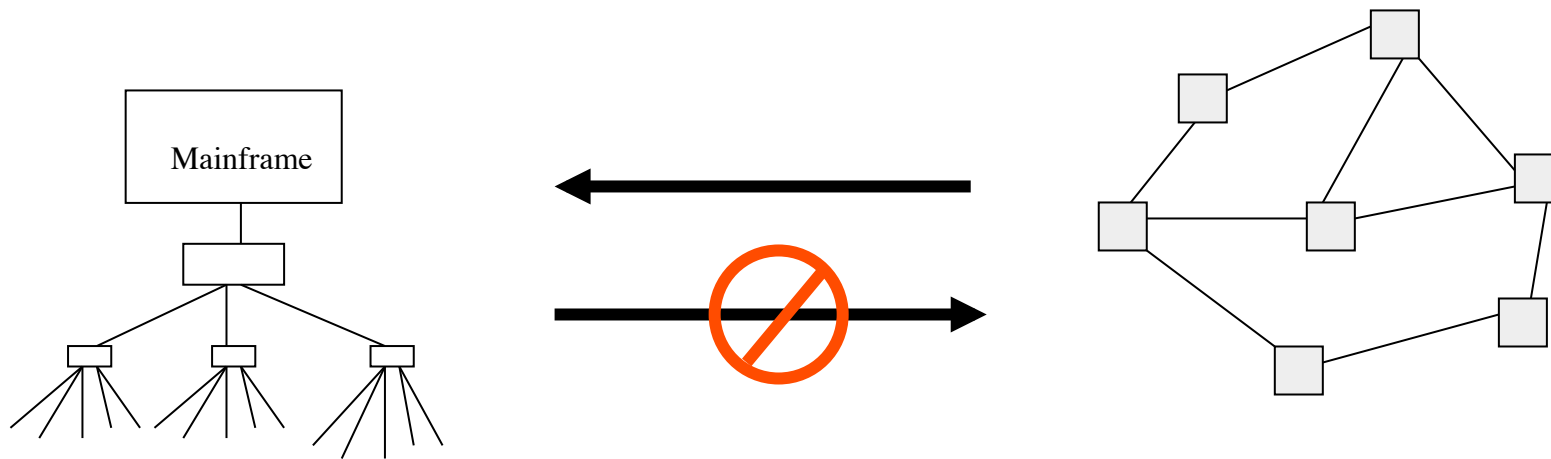


And if that weren't enough.

## In Networking

# IBM Found Itself at a Dead-End

You can always make a peer architecture hierarchical  
But you can't go the other way.



But IBM and the PTTs had carefully stayed out of each other's turf.

Had IBM made SNA a peer network and subset it for the 70's hierarchical market, the Internet would have been nothing but an interesting research project.

# A Nasty Brawl Was Shaping Up

The Phone Companies  
Against  
the Computer Companies  
and  
Everyone against IBM

## Meanwhile Back at INWG

# There Were Two Proposals

- INWG 39 based on the early TCP and
- INWG 61 based on CYCLADES TS.
- And a healthy debate, see Alex McKenzie, “INWG and the Conception of the Internet: An Eyewitness Account” IEEE Annals of the History of Computing, 2011.
- Two sticking points
  - How fragmentation should work
  - Whether the data flow was an undifferentiated stream or maintained the integrity of the units sent (letter).
- These were not major differences.

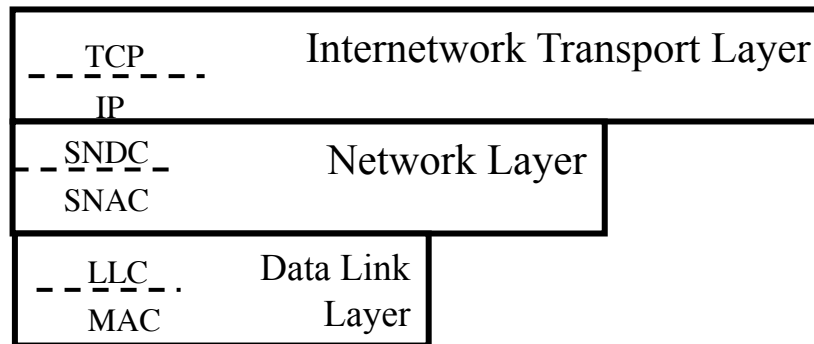


## After a Hot Debate

- A Synthesis was proposed: INWG 96
- There was a vote in 1976, which approved INWG 96.
- As Alex says, NPL and CYCLADES immediately said they would convert to INWG 96; EIN said it would deploy only INWG 96.
- “But we were all shocked and amazed when Bob Kahn announced that DARPA researchers were too close to completing implementation of the updated INWG 39 protocol to incur the expense of switching to another design. As events proved, Kahn was wrong (or had other motives); the final TCP/IP specification was written in 1980 after at least four revisions.”
  - Neither was right. The real breakthrough came two years later.
- But the differences weren’t the most interesting thing about this work.

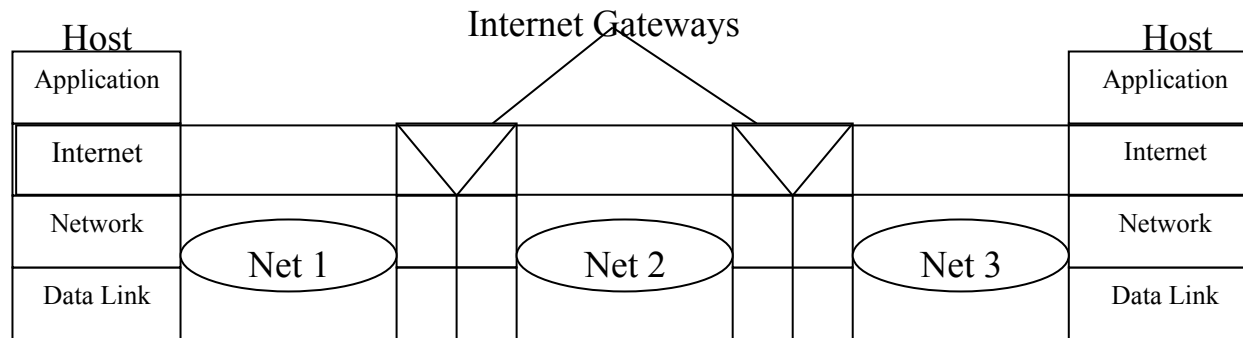
# The *Similarity* Among all 3 Is Much More Interesting

- This is *before* IP was separated from TCP. All 3 *Transport* Protocols carried addresses.
- This means that the Architecture that INWG was working to was:



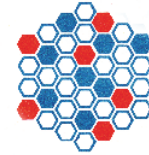
- Three Layers of Different Scope each with Addresses.
- If this does not hit you like a ton of bricks, you haven't been paying attention.
- This is *NOT* the architecture we have.

# INWG's Internet Model

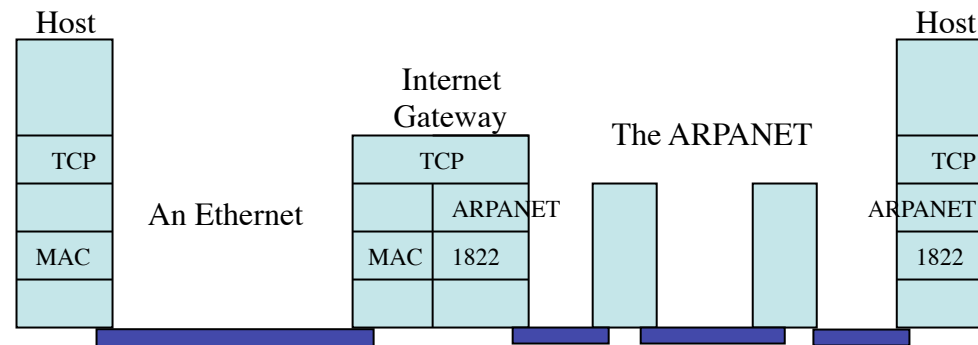


- An Internet Layer addressed Hosts and Internet Gateways.
- Several Network Layers of different scope, possibly different technology, addressing hosts on that network and that network's routers and its gateways.
  - Inter-domain routing at the Internet Layer; Intra-Domain routing at the Network Layer.
- Data Link Layer smallest scope with addresses for the devices (hosts or routers) on segment it connects
- The Internet LOST A LAYER!!

# How Did They Lose a Layer?



- To Hazard a Guess: (This is subtle so pay close attention)
  - A Case of Sorcerer’s Apprentices (Thought they knew more than they did)
  - The Internet was a DoD project with the ARPANET at its center
    - Built and operated by BBN. Only BBN made IMPs
      - In a sense, BBN was their PTT.
  - Initially, the initial growth was LANs at the Edge connected by
    - Internet Gateways: Ethernet on one side; BBN 1822 or X.25 on the other.
    - The ARPANET had no “peers” in this environment.



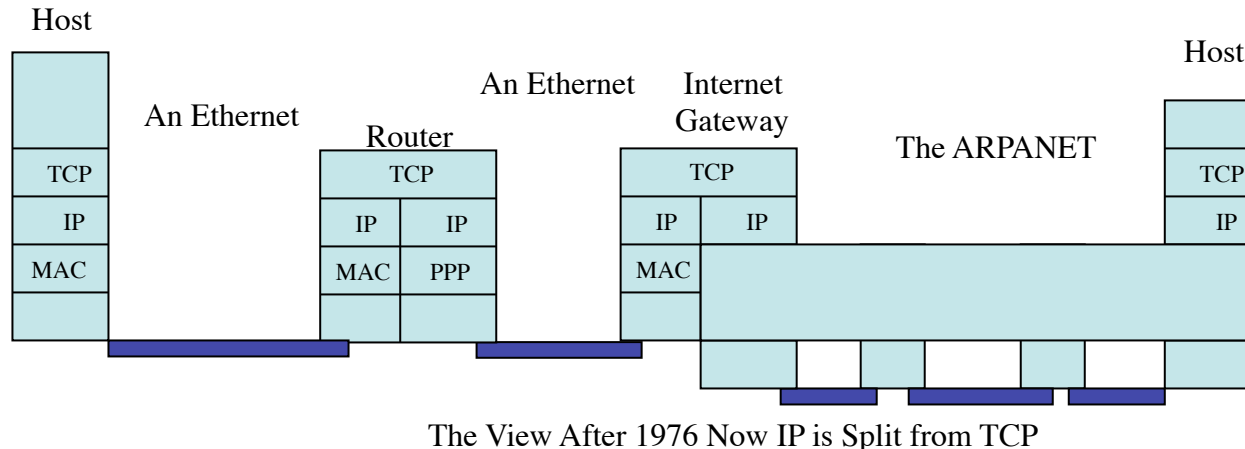
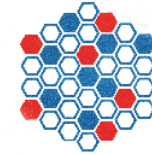
The View About 1976 Before IP is Split from TCP

Now we split IP from TCP

Remember, only one or two people involved  
in this were also involved in INWG

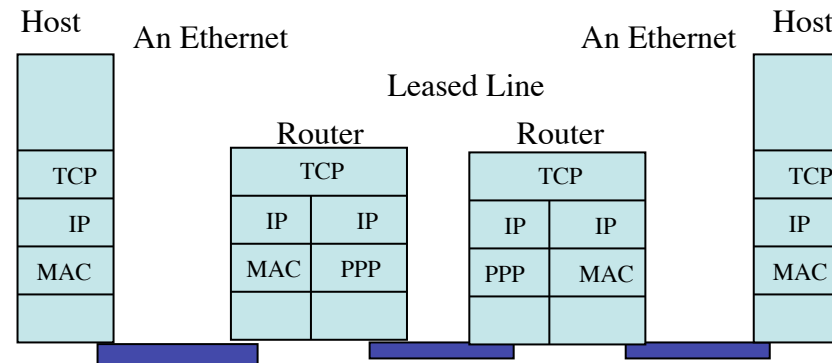
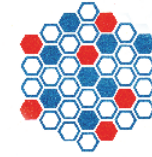
# How Did They Lose A Layer? II

## After IP is Split Off



- But the ARPANET is a black box. Only BBN can see inside it.
- So to everyone else it looks like just another LAN.
  - They start to think that way.
- Most of the new entries are workstations on LANs being connected together over short and long distances (with leased lines).
- Which leads to a picture that looks like:

# How Did They Lose a Layer? III



- And there are lots of them connecting to each other!
  - The ARPANET is becoming less and less important.
- Voilà! Did you see it disappear?
  - This is not an Internet, it is a beads-on-a-string *Network!*
    - Just like the ITU!!
    - No Internet Gateways, only Routers. The term disappears in the early 80s
- Separating IP from TCP; not understanding the importance of scope; the misconception of one protocol, one layer; just doing the next thing; all contributed to being an Internet in name only.

# So What Layer Did They Lose?

- It is not obvious.
- At first glance, one might say the Network Layer.
  - The Protocol is called IP after all!
  - Removing the ARPANET, “removed” the Network Layer,
  - Everything just dropped down.
- But the Address continued to name the Interface, the subnet point of attachment, just like ARPANET addresses!
  - Actions speak louder than words
- We must conclude that, . . .

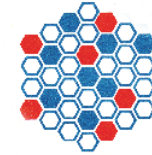
**They Lost the Internet Layer!!!**

# The Big Mistake: Splitting IP from TCP

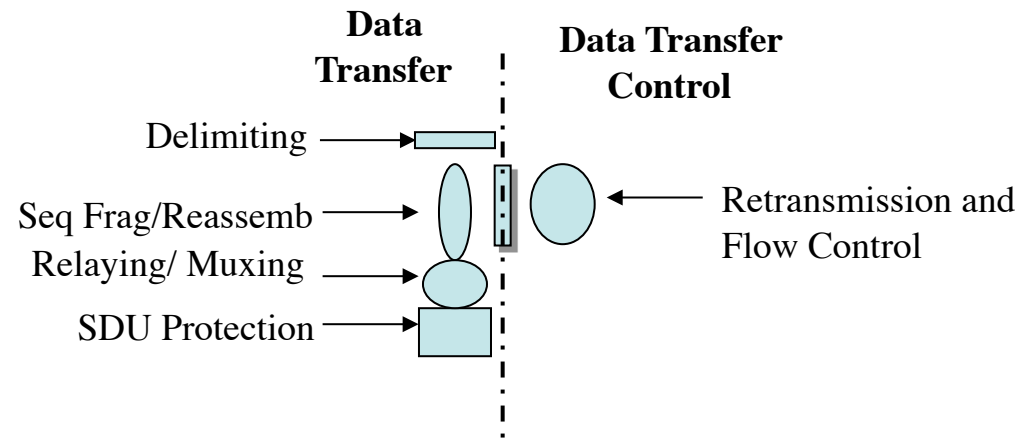
- The Rules say if there are two layers of the same scope, the functions must be completely independent.
- Are Separating Error and Flow Control from Relaying and Multiplexing independent? No!
  - IP also handles fragmentation across networks.
  - Remember, Don't repeat functions in different layers of the same scope?
- Problem: IP fragmentation doesn't work.
  - IP has to receive all of the fragments of the same packet to reassemble.
  - Retransmissions by TCP are distinct and not recognized by IP.
    - Must be held for MPL (5 secs!).
    - There can be considerable buffer space occupied.
- There is a fix: MTU Discovery.
  - The equivalent of “doc, it hurts when I do this!” “Then don't do it.”
  - Not a “big” problem, but big enough to be suspicious.



# But it is the *Nature* of the Problem That is Interesting



- The problem arises because there is a dependency between IP and TCP. The rule is broken.
  - It tries to make it a beads on a string solution.
- A Careful Analysis of this Class of Protocols shows that the Functions naturally cleave (orthogonally) along lines of Control and Data.

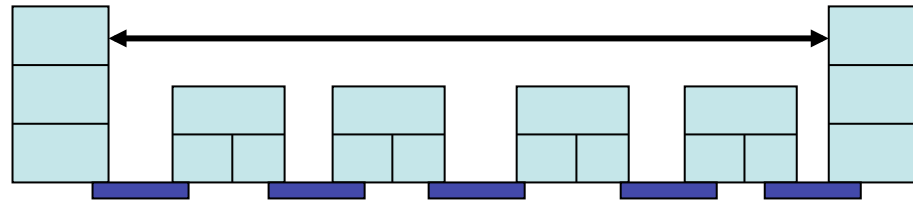


- TCP was split in the Wrong Direction!
  - It is one layer, not two.
  - IP was a bad idea.

# A Chance to Get Things on Track

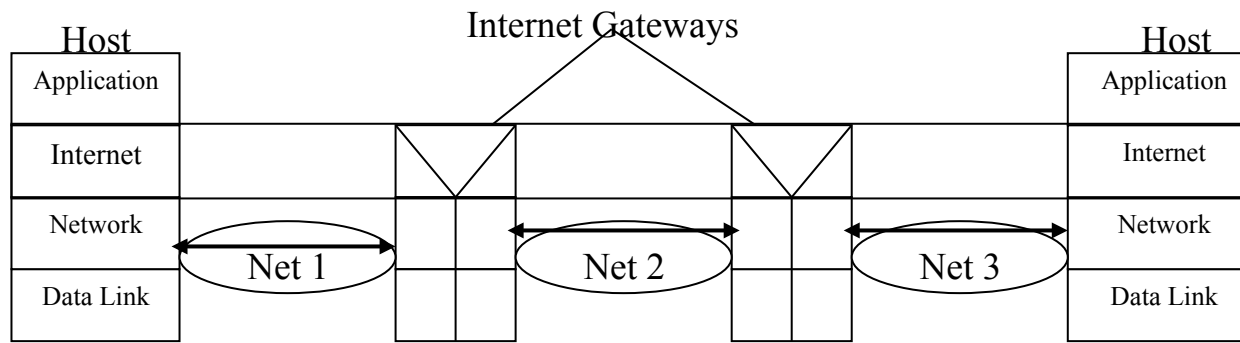
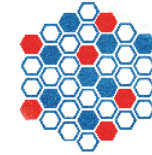
- We knew in 1972, that we needed Application Names and some kind of Directory.
- Downloading the Host file from the NIC was clearly temporary.
- When the time came to automate it, it would be a good time to introduce Application Names!
  
- Nope, Just Automate the Host file. Big step backwards with DNS.
- Now we have domain names
  - Macros for IP addresses
- And URLs
  - Macros for jump points in low memory
  - The path to the Application is named, but Nothing names the *Application*.

## Then in '86: Congestion Collapse



- Caught Flat-footed. Why? Everyone knew about this?
  - Had been investigated for 15 years at that point
- With a *Network Architecture* they put it in Transport.
  - Worst place.
- Most important property of any congestion control scheme is minimizing *time to notify*. Internet maximizes it and its variance.
- And implicit detection makes it predatory.
  - Virtually impossible to fix
- Whereas,

# Congestion Control in an Internet is Clearly a Network Problem



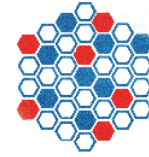
- With an Internet Architecture, it clearly goes in the Network Layer
  - Which was what everyone else had done.
- Time to Notify can be bounded and with less variance.
- Explicit Congestion Detection confines its effects to a specific network and to a specific layer.

# Would be Nice to Manage the Network

- With a choice between a modern object-oriented protocol (HEMS) and a traditional approach (SNMP), err sorry . . . “a simple approach.” IETF goes with “simple.”
  - Must be simple, it has the Largest implementation of the 3:
    - SNMP, HEMS, CMIP.
  - So simple too complex to use
    - IEEE had tried the SNMP approach several years earlier so the shortcomings were well-known.
- Everything connectionless making it impossible to snapshot tables
- No attempt at commonality across MIBs.
- Router vendors played them for suckers and they fell for it.
  - Not secure, can’t use for configuration.
    - (Isn’t ASN.1 an encryption algorithm?)
  - Much better to send passwords in the clear.
  - **It is all about account control**

# IPv6 Still Names the Interface?

Why on Earth?



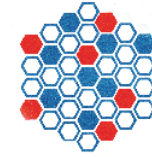
- Known about this problem since 1972
  - No Multihoming, kludged mobility
  - Notice in an Internet Architecture this is straightforward.
  - Signs the Internet crowd didn't understand the Tinker AFB lesson.
  - DARPA never made them fix it.
- By the Time of IPng, Tradition trumps Everything.
- IPv7 would have fixed it.
  - But that fight was too intense. This is no longer science, let alone engineering.
- When they can't ignore, and given post-IPng trauma they look for a workaround.
- “Deep thought” yields

Voilà!

Loc/Id Split!

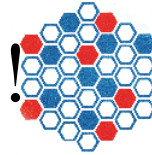
# Loc/ID Split

(these are people who  
lost a layer to begin with, right?)

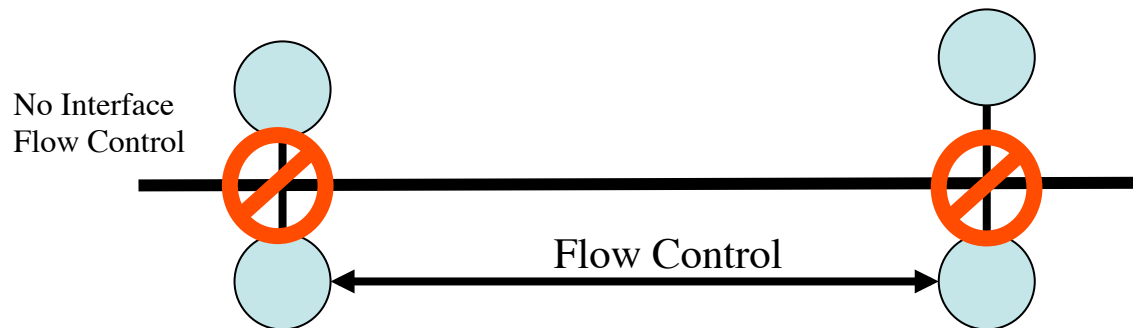


- You've got to be kidding?! Right!
- First off:
  - Saltzer [1977] defines “resolve” as in “resolving a name” as “to locate an object in a particular context, given its name.”
  - All names in computing locate something.
    - So either nothing can be identified without locating it, nor located without identifying it, OR
    - anything that doesn't locate something is being used outside its context
    - Hence it is either a false distinction or it is meaningless.
- Second, one must route to the end of the path.
  - The locator is on the path to the *end*, it isn't the end.
  - The “identifier” locates the end of the path but they aren't routing on it.
  - No wonder it doesn't scale
- There is no workaround. IP is fundamentally flawed.

# Never Get a Busy Signal on the Internet!



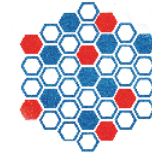
## 2010 They Discovered Buffer Bloat! The Pouzin Society



- Golly Gee Whiz! What a Surprise!!
- With Plenty of Memory in NICs, Getting huge amounts of buffer space backing up behind flow control.
- If peer flow control in the protocol, pretty obvious one needs interface flow control as well.
- Well, Duh! What did you think was going to happen?
  - If you push back, it has to go somewhere!
  - Now you can have local congestion collapse!



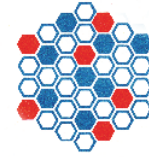
# Taking Stock



- The Internet has:
  - Botched the protocol design
  - Botched the architecture
  - Botched the naming and addressing
  - When they had an opportunity move in the right direction with application names, they didn't. They did DNS.
  - When they had an opportunity to move in the right direction with node addresses, they didn't. They did IPv6.
  - More than Botched Network Management
  - Botched the Congestion Control twice
  - Once so bad it probably can not be fixed.
- By my count this makes them 0 for 8!
- It defies reason! Do these guys have an anti-Midas touch or wha!?

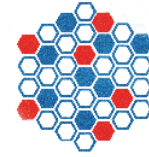
# But It is a Triumph!

(By that argument, so was DOS)



- But It Works!
- So did DOS. Still does.
- ‘With Sufficient Thrust even Pigs Can Fly!’ - RFC 1925
- As long as fiber and Moore’s Law stayed ahead of Internet Growth, there was no need to confront the mistakes.
  
- Now it is catching up to us, limiting us, and can’t be fixed.
  - Fundamentally flawed, a dead end.
  - Any further effort based on IP is a waste of time and effort.
    - Throwing good money after bad
  - Every patch (and that is all we see) is taking us further from where we need to be.

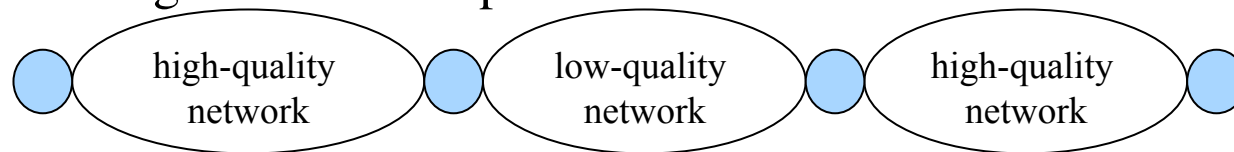
# Want to Feel Really Bad?



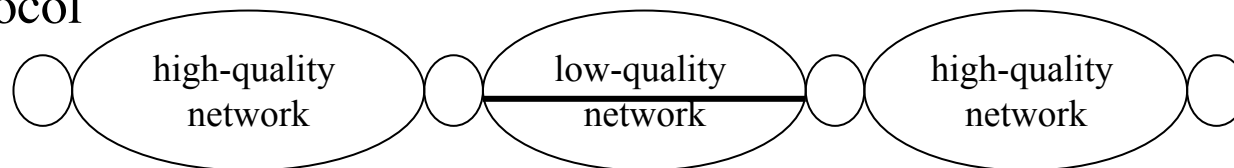
- A Chapter of a forthcoming Book on the History of the Networking Business traces development in the 1980s:
  - First companies were developing LAN products
    - Workstations coming in but SNA is still dominant
  - Then products to connect LANs together in the workplace.
    - Novell and others are making inroads.
  - Then connecting LANs over distances to create corporate networks.
    - Corporations were concerned about security and wanted their own networks
  - By the mid to late-80s, corporations wanted *their* suppliers on *their* networks.
- In the Middle of this is dumped free software and a subsidized ISP but with a flawed architecture
- and a lot of hype: The Internet!!
  - The Meddling of Big Government Caused the Entire Mess
  - How Do I know this is what would have happened?
  - Because it did.

# It Was the Computer Companies Who Were Doing the OSI Network Layer

- The other major effort at the time.
- The well-known 7-layer model was adopted at the first meeting in March 1978 and frozen. After that, they had to work within that.
- They knew they would have to accommodate different networks of different quality and different technology.
- One of their concerns in the Network Layer deliberations was interworking over a less capable network:



- Would need to enhance the less capable network with an additional protocol

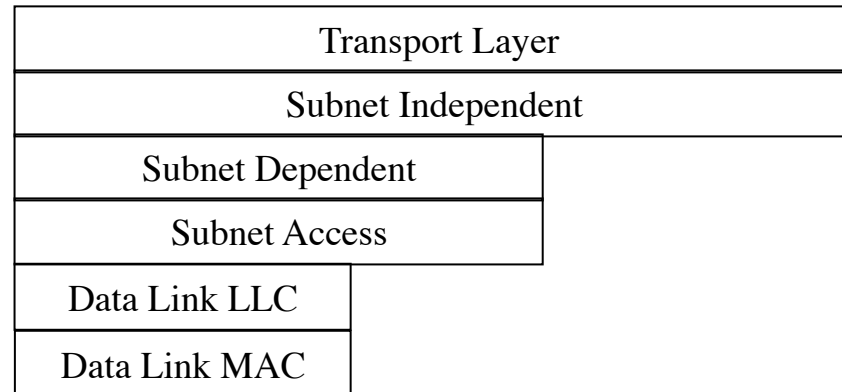
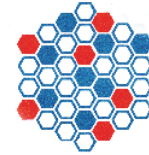


# They Sub-Divided the Network Layer

- This concern and the recognition that there would be different networks interworking lead OSI to divide the Network Layer into three sublayers, which might be optional depending on configuration:

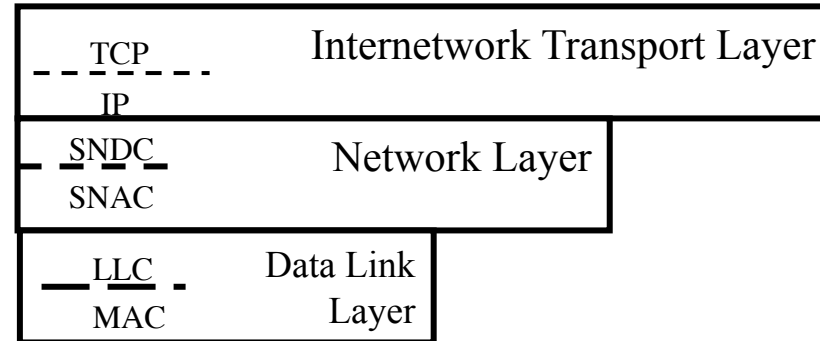
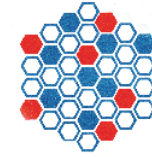
Subnet Independent Convergence (SNIC)
Subnet Dependent Convergence (SNDC)
Subnet Access (SNAC)

# And Came to the INWG Model



- With the Transport Layer, this is the same as the INWG model.
- For different political reasons, OSI made a similar split to TCP/IP.
  - Remember PTTs didn't want a Transport Layer at all.
    - This is independent confirmation. None of the OSI Network Layer group had been involved in INWG.
- So OSI had an Internet Architecture and the Internet has a Network Architecture.
  - You just can't make this stuff up!
- And signs of a repeating structure.

# INWG Was on The Right Track!!



- They were Close to Seeing it was a Repeating Structure
  - A Structure we arrived at independently by a similar approach.
- This is a unique event in the History of Science
  - There is no other example of a new paradigm being diverted by a flawed variant of the old paradigm, and completely crowds out any other view.
  - The last 30 years have been the Dark Ages of Networking.
  - Our task is to put things back on track. Not to go back to INWG, but to carry it forward, to adopt their method, to go back to doing science.

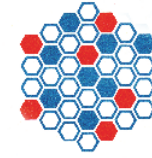
# How Lucky Can You Get!?

- RINA picks up where INWG left off. RINA takes it the next step.
  - Is RINA the answer? Who knows? We are doing the science and letting the chips fall where they may.
  - Can you propose something that is simpler and answers more questions, makes predictions about things we haven't seen?
  - RINA does and has. . . .
- There is so much to explore!
  - It is Interesting How Different the Fundamentals Are
- By Maximizing Invariance and Minimizing Discontinuity
  - To scale, resource allocation problems require a repeating (recursive) structure. (confirmed by Herb Simon's Science of the Artificial)
  - A Layer is a Distributed Application for Managing InterProcess Communication.



# Really Lucky!

- So Much to Explore!
  - Multiple layers of the same rank implies a means to choose which one to use.
    - Neither a global address space nor a global name space are absolute requirements
  - The nature of security is much clearer, simpler, and more robust.
  - Many new avenues to explore in congestion control and quality of service.
  - And the adoption can be seamless.
  - And there are implications beyond IPC to distributed systems in general that we are just beginning to understand:
    - Hint: Distributed Applications are Local Computations.
- Is there more to Discover?
- Undoubtedly! (At all sorts of levels of detail, we basically have to finally understand networking.)
  - This is the most fun you can have with your clothes on! ;-)
- Will it set conventional wisdom on its ear?!
  - Been doing pretty good so far! ;-)



The Pouzin Society

# Questions?